

044113

DATE	INVOICE NUMBER	MEMO	BALANCE
12/20/2001	12202001E	KRESS 11321-P011C1D6 Advance for Filing Fees for Divisional	10 -20 -01530-001 CLIENT UNBILLED COSTS ADVANCED 370.00
12/21/2001	000044113	CHECK NUMBER	TOTAL 370.00



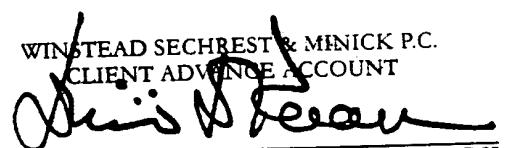
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12/21/2001	000044113	CHECK NUMBER	TOTAL 370.00

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,670	12/21/2001	Daniel T. Colbert	11321-P011C1D6

Hugh R. Kress
 2400 Bank One Center
 910 Travis Street
 Houston, TX 77002



CONFIRMATION NO. 1672
 FORMALITIES LETTER

OC00000008612523

Date Mailed: 08/12/2002

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

A copy of this notice MUST be returned with the reply.

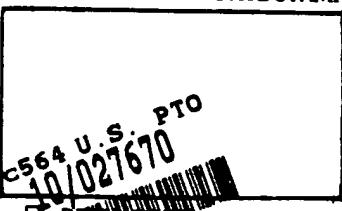
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DOCKET NO: 11321-P011C1D6 CLIENT (Ref): Rice ATTY/PRLG HRK/WAW/dr
Inv/Applicant: Colbert et al. TITLE: Macroscopically Manipulable...

<input type="checkbox"/> New Patent Application:	<input checked="" type="checkbox"/> Transmittal Letter	App'l/Grant No: _____
<input checked="" type="checkbox"/> App'l Data Sheet	<input checked="" type="checkbox"/> Fee Transmittal	PTO RECEIPT DATE STAMP: 
<input type="checkbox"/> Provisional	<input checked="" type="checkbox"/> Check(s) - \$ <u>370.00</u>	
<input type="checkbox"/> Prov Cvr Sheet	<input type="checkbox"/> Issue Fee	<input type="checkbox"/> Check - \$ _____
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<input type="checkbox"/> CONT	<input type="checkbox"/> Check - \$ _____	
<input checked="" type="checkbox"/> CIP / DIV	<input type="checkbox"/> PCT Request	
<input type="checkbox"/> CPA / RCE	<input type="checkbox"/> PCT Chapter II Demand	
<input type="checkbox"/> Plant	<input type="checkbox"/> PCT Fee Calculation Sheet	
<input type="checkbox"/> Design	<input type="checkbox"/> APPEAL	<input type="checkbox"/> APPEAL BRIEF
<input type="checkbox"/> PCT	<input type="checkbox"/> Petition-Extension of Time - _____ Months	
<input checked="" type="checkbox"/> Drawing(s) <u>14</u> Sheets	<input type="checkbox"/> PETITION: _____	
<input type="checkbox"/> Gene Sequence	<input type="checkbox"/> FORM _____	
<input type="checkbox"/> Computer Readable	<input type="checkbox"/> FORM _____	
<input type="checkbox"/> Paper Copy	<input type="checkbox"/> Response to FORM _____	
<input checked="" type="checkbox"/> Declaration	<input checked="" type="checkbox"/> Response/Amendment <u>Preliminary Amendment</u>	
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Resp. to Notice: Non-Compliant App'l / Missing Parts / Inv. To Correct Defects	
<input type="checkbox"/> PCT - Original / Copy	<input type="checkbox"/> Request for Correction: _____	
<input type="checkbox"/> Non-Publication Request	<input type="checkbox"/> OTHER: _____	
<input type="checkbox"/> IDS, PTO/SB/08A-B, # Ref: _____	DUE: <u>12/21/01</u>	
<input type="checkbox"/> Assignment - \$ _____	MAILED: <u>12/21/01</u>	
<input type="checkbox"/> Assignment Cvr Sheet	OR <input type="checkbox"/> Certificate of Mailing	
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DOCKET NO: 1321-P011C1D6 CLIENT (Ref.): Rice ATTY/PRLG HRK/WAW/dr
 Inv/Applicant: C libert et al. TITLE: Macr scopically Manipulable...



<input checked="" type="checkbox"/> New Patent Application:	<input checked="" type="checkbox"/> Transmittal Letter	App'l/Grant No: _____	
<input checked="" type="checkbox"/> App'l Data Sheet	<input checked="" type="checkbox"/> Fee Transmittal	PTO RECEIPT DATE STAMP: _____	
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<input type="checkbox"/> IDS, PTO/SB/08A-B, # Ref: _____			
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Delivery Date	Time	Employee Signature
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 11321-P011C1D6

First Inventor Daniel T. COLBERT

Title Method for Forming an Array of Single-Wall Carbon Nanotubes in an Electric Field and Compositions

Express Mail Label No. EL765759873US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 58]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 14]
5. Oath or Declaration [Total Pages 6]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Check for filing fees

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No. 10 / 000,746

Prior application information: Examiner Jack I. Berman

Group Art Unit: 2881

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

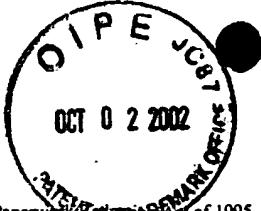
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	2400 Bank One Center			
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City	Houston	State	TX	Zip Code
Country	US	Telephone	713-650-2714	Fax

Name (Print/Type)	Hugh R. Kress, Esq.	Registration No. (Attorney/Agent)	36,574
Signature			Date 21-DEC-2001

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PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

11321-P011C1D6

CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

FOR	NUMBER FILED		NUMBER EXTRA	SMALL ENTITY	OTHER THAN SMALL ENTITY
	RATE	FEES			
BASIC FEE (37 CFR 1.16(a))				\$ 370	
TOTAL CLAIMS (37 CFR 1.16(c))	6	minus 20 =	*	0	
INDEPENDENT CLAIMS (37 CFR 1.16(b))	2	minus 3 =	*	0	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))					
				+ _____ =	
				TOTAL 370.00	OR TOTAL 0.00

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	SMALL ENTITY	OTHER THAN SMALL ENTITY
Total (37 CFR 1.16(c))	*	Minus	**	=	
Independent (37 CFR 1.16(b))	*	Minus	***	=	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					
				+ _____ =	
				TOTAL ADDIT. FEE	OR TOTAL ADDIT. FEE

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	SMALL ENTITY	OTHER THAN SMALL ENTITY
Total (37 CFR 1.16(c))	*	Minus	**	=	
Independent (37 CFR 1.16(b))	*	Minus	***	=	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					
				+ _____ =	
				TOTAL ADDIT. FEE	OR TOTAL ADDIT. FEE

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	SMALL ENTITY	OTHER THAN SMALL ENTITY
Total (37 CFR 1.16(c))	*	Minus	**	=	
Independent (37 CFR 1.16(b))	*	Minus	***	=	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					
				+ _____ =	
				TOTAL ADDIT. FEE	OR TOTAL ADDIT. FEE

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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